

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : U Y FINCORP LIMITED

2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30.09.2023**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eq. x	Class eq. y	Total								
(A)	Promoter & Promoter Group	6	134820529	0	0	134820529	70.869	134820529	0	134820529	70.869	0	0.000	0	0.000	134820529		
(B)	Public	6875	55417800	0	0	55417800	29.131	55417800	0	55417800	29.131	0	0.000	0	0.000	55284181		
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	6881	190238329	0	0	190238329	100.000	190238329	0	190238329	100.000	0	0.000	0	0.000	190104710		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders (a)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (b)	No. of shareholders (c)	No. of fully paid-up equity shares held (d)	No. of partly paid-up equity shares held (e)	No. of shares underlying Depository Receipts (f)	Total no. shares held (d)+(e)+(f) (g)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (h)	Number of Voting Rights held in each class of securities (i)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (j)	Shareholding as a % assuming full conversion of convertible securities (including Warrants) (k)	Number of Locked in shares (L1)		Number of Shares pledged in other securities (L2)		Number of equity shares held in demat account (L3)	
									No. of Voting Rights					Total as a % of Total Voting Rights (l)	No. Locked	As a % of Total Shares held (m)	No. Pledged		As a % of Total Shares held (n)
									Class A	Class B	Total								
(1) Indian			0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(a) Individuals/Hindu undivided Family			4	85347055	0	0	85347055	44.811	85347055	0	85347055	44.811	0	0.000	0	0.000	0	0.000	85347055
DEEPAK KOTHARI	PROMOTER	AAABH07041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.000	0	0.000	0	0.000	28000
DEEPAK KOTHARI	PROMOTER	ABPK9382M	0	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	0.000	0	0.000	0	0.000	37430914
DIPTI DEEPAK KOTHARI	PROMOTER	ABPK9382M	0	3688002	0	0	3688002	1.939	3688002	0	3688002	1.939	0	0.000	0	0.000	0	0.000	3688002
UJALA KOTHARI	PROMOTER	AETPK9531R	0	44099139	0	0	44099139	23.181	44099139	0	44099139	23.181	0	0.000	0	0.000	0	0.000	44099139
(b) Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Bodies Corporate			2	49573474	0	0	49573474	26.059	49573474	0	49573474	26.059	0	0.000	0	0.000	0	0.000	49573474
LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER	AAAC14520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	0.000	0	0.000	0	0.000	37046208
LOTUS INDUSTRIES PRIVATE LTD	PROMOTER	AAAC14520B	0	12527266	0	0	12527266	6.585	12527266	0	12527266	6.585	0	0.000	0	0.000	0	0.000	12527266
Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Cleaning Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (A)(1)			6	134820529	0	0	134820529	70.869	134820529	0	134820529	70.869	0	0.000	0	0.000	0	0.000	134820529
(2) Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f) OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g) QFI - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h) QFI - Corporate			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			6	134820529	0	0	134820529	70.869	134820529	0	134820529	70.869	0	0.000	0	0.000	0	0.000	134820529

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (XI)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) (VII)+(XI) as a % of (A+B+C)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		Number of equity shares held in dematerialized form (XV)	Sub-categorization of shares (XVI)			
								No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under		
								Class x	Class y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(1) Institutions (Domestic)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(d) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(e) Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(f) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(g) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(h) Asset Reconstruction Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(i) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(j) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(k) Other Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(l) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(2) Institutions (Foreign)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(a) Foreign Direct Investment		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(b) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(c) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
Category I		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
Category II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(e) Overseas Depositors (holding DRs)/balancing figure		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(f) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
QFI Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
FPI - CORPORATE - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					
(3) Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0					

(a)	Central Government/President of India	1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000			
(b)	State Government/Governor	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Sub-Total (B)(3)	1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000			
(d)	Non-institutions Associate Companies/Subsidiaries	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(a)	Directors and their relatives	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(b)	Key Managerial Personnel	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(c)	Relatives of Promoters (other than immediate Relatives of Promoters disclosed under Promoter & Promoter Group)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(d)	Trusts where any person belonging to Promoter & Promoter Group Category is Trustee, beneficiary or author of the trust	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(e)	IEPF Authority	2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382			
(f)	Resident individual shareholders holding nominal share capital up to Rs.2 Lakhs.	6648	7737615	0	0	7737615	4.067	7737615	0	7737615	4.067	0	4.067	0	0.000	0	0.000	7620396			
(g)	Resident individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.	122	22071357	0	0	22071357	11.602	22071357	0	22071357	11.602	0	11.602	0	0.000	0	0.000	22071357			
(h)	NR	10	165114	0	0	165114	0.087	165114	0	165114	0.087	0	0.087	0	0.000	0	0.000	165114			
(i)	Repatriable	11	239992	0	0	239992	0.126	239992	0	239992	0.126	0	0.126	0	0.000	0	0.000	239992			
(j)	Non-Repatriable	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(k)	Foreign Nationals	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(l)	Foreign Companies	76	25032449	0	0	25032449	13.158	25032449	0	25032449	13.158	0	13.158	0	0.000	0	0.000	25017049			
(m)	Bodies Corporate	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(n)	MAIN LAND FINANCE PVT. LTD.	AACCM3533D	0	4338173	0	0	4338173	2.280	4338173	0	4338173	2.280	0	2.280	0	0.000	0	0.000	4338173		

SANGAM MERCHANTS PVT. LTD.	AAGCS5305M	0	3987156	0	0	3987156	2.096	3987156	0	3987156	7.096	0	2.096	0	0.000	0	0.000	3987156				
(m) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
Clearing Members		5	19891	0	0	19891	0.010	19891	0	19891	0.010	0	0.010	0	0.000	0	0.000	19891				
Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
FPI - INDIVIDUAL - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
Unclaimed Demat Escrow A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
FPI - INDIVIDUAL - CAT-II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
Sub-Total (B)(4)		6874	55413800	0	0	55413800	29.129	55413800	0	55413800	29.129	0	29.129	0	0.000	0	0.000	55280181				
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B) (3)+(B)(4)		6875	55417800	0	0	55417800	29.131	55417800	0	55417800	29.131	0	29.131	0	0.000	0	0.000	55284181				

Table V - Statement showing shareholding details of significant beneficial owner

Details of the significant beneficial owner (i)				Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect, (iii) Whether by virtue of.					
Sr No.	Name	PAN/Passport No in case of a foreign national	Nationality	Name	PAN/Passport No in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (iv)
1	Deepak Kothari	ABBPk9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	10.60			No	No	30-01-2017

Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date		
As on the end of previous 1st quarter	100	0.09
As on the end of previous 2nd quarter	100	0.12
As on the end of previous 3rd quarter	100	0.13
As on the end of previous 4th quarter	100	0.12
	100	0.11