

Format of holding of specified securities

Annexure - I

1 **Name of Listed Entity : U Y FINCORP LIMITED**

2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31.03.2023**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

(i) Category of shareholder	(ii) Nos. of shareholders	(iii) No. of fully paid up equity shares held (IV)	(v) No. of partly paid-up equity shares held (V)	(vi) No. of shares underlying Depository Receipt (VI)	(ix) Number of Voting Rights held in each class of securities			(x) No. of Shares Underlying convertible securities (including Warrants) (X)	(xi) Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	(xii) Number of Locked in Shares otherwise encumbered		(xiii) Number of Shares pledged or otherwise encumbered	(xiv) Number of equity shares held in dematerialized form (XIV)	
					(x) Total as a % of (A+B+C)		(a) No.			(b) As a % of total Shares held	(e) No.			(f) As a % of total Shares held
					Class eq: x	Class eq: y								
(A) Promoter & Promoter Group	6	134123500	0	0	134123500	0	70.503	70.503	0	0.000	0	0.000	134123500	
(B) Public	7047	56114829	0	0	56114829	0	29.497	29.497	0	0.000	0	0.000	55980210	
(C) Non Promoter - Non Public														
(C1) Shares underlying DRS														
(C2) Shares held by Employee Trusts														
TOTAL	7053	190238329	0	0	190238329	0	100.000	100.000	0	0.000	0	0.000	190103710	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) [(VII)+(XI) as a % of (A+B+C)] (XI)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of Equity Shares held in dematerialized form (XIV)
									Class A	Class Y	Total	Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1) Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a) Individuals/Hindu undivided Family			4	84971485	0	0	84971485	44.666	84971485	0	84971485	44.666	0	0.000	0	0.000	0	84971485	
DEEPAK KOTHARI	PROMOTER GROUP	AAABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.000	0	0.000	0	28000	
DEEPAK KOTHARI	PROMOTER GROUP	ABBPK9382M	0	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	0.000	0	0.000	0	37430914	
DIPTI DEEPAK KOTHARI	PROMOTER GROUP	AETPK9551R	0	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	0.000	0	0.000	0	3689002	
UDAI KOTHARI	PROMOTER GROUP	ACMPK0691F	0	43823569	0	0	43823569	23.036	43823569	0	43823569	23.036	0	0.000	0	0.000	0	43823569	
(b) Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(c) Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(d) Any Other Bodies Corporate			2	49152015	0	0	49152015	25.837	49152015	0	49152015	25.837	0	0.000	0	0.000	0	49152015	
LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER GROUP	AAACU4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	0.000	0	0.000	0	37046208	
U Y INDUSTRIES PRIVATE LTD	PROMOTER GROUP	AAACU4255G	0	12105807	0	0	12105807	6.363	12105807	0	12105807	6.363	0	0.000	0	0.000	0	12105807	
Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Sub-Total (A)(1)			6	134123500	0	0	134123500	70.503	134123500	0	134123500	70.503	0	0.000	0	0.000	0	134123500	
Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(2) Individuals (Non-Resident Individuals)/Foreign Individuals			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a) Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(b) Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(c) Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(d) Any Other OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(e) OCB - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(f) Corporate Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			6	134123500	0	0	134123500	70.503	134123500	0	134123500	70.503	0	0.000	0	0.000	0	134123500	



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholder (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (v) + (vi)	Shareholding g % as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (a)	As a % of total Shares held (b)	Number of equity shares held in dematerialized form (xiv)	Sub-categorization of shares (iii)		
								Class x	Class y	Total			No. (a)	As a % of total Shares held (b)				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(1) Institutions (Domestic)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(2) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(3) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(4) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(5) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(6) Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(7) Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(8) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(9) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(10) Asset Reconstruction Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(11) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(12) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(13) Other Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(14) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(15) Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(16) Institutions (Foreign)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(17) Foreign Direct Investment		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(18) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(19) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(20) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(21) Category I		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(22) Category II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(23) Overseas Depositors (holding DRs) (balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(24) Any Other QFI Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(25) FPI - CORPORATE - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(26) Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				

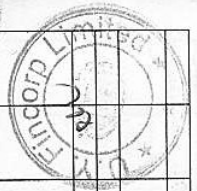


Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (vii)+(X) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No. of Voting Rights					As a % of total Shares held (b)	No. (e)	As a % of total Shares held (b)	No. (d)		As a % of total Shares held (b)
								Class x	Class y	Total								
(1) Custodian, DR Holder																		
(2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014																		
Total Non-Promoter - Non-Public Shareholding (C)=(1)+(2)																		



Table V - Statement showing shareholding details of significant beneficial owner

Details of the significant beneficial owner (i)				Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii) Whether by virtue of:					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (iv)
1	Deepak Kothari	ABBPk9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	19.69			No	No	30-01-2017



Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.13
As on the end of previous 1st quarter	100	0.15
As on the end of previous 2nd quarter	100	0.05
As on the end of previous 3rd quarter	100	0.05
As on the end of previous 4th quarter	100	0.12

