

Quarterly Compliance Report on Corporate Governance

Name of the Company: GOLDEN GOENKA FINCORP LIMITED

Quarter ending on: 30.09.2014

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	49 I		
(A) Composition of Board	49(IA)	Yes	
(B) Non-executive Directors' Compensation & Disclosures	49 (IB)	Yes	
(C) Other Provisions as to Board and Committees	49 (IC)	Yes	
D) Code of Conduct	49 (ID)	Yes	
II. Audit Committee	49 (II)		
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee	49 (IIC)	Yes	
(D) Role of Audit Committee	49 (IID)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
III. Subsidiary Companies	49 (III)	Yes	
IV. Disclosures	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)	Yes	
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (IV D)	Yes	The proceeds of the rights issue were fully utilised for the purpose for which issue was made as mentioned in the Letter of Offer dated 21st March, 2014 namely Augmentation of Capital Base of the Company and General Corporate Purposes. The details of such utilization of the issue proceeds was be placed at the Audit Committee Meeting.
(E) Remuneration of Directors	49 (IV E)	Yes	Complied in the Annual Report for the Year 2013-2014
(F) Management	49 (IV F)	Yes	Complied in the Annual Report for the Year 2013-2014
(G) Shareholders	49 (IV G)	Yes	
V. CEO/CFO Certification	49 (V)	Yes	
VI. Report on Corporate Governance	49 (VI)	Yes	Complied in the Annual Report for the Year 2013-2014
VII. Compliance	49 (VII)	Yes	Complied in the Annual Report for the Year 2013-2014

Place: Kolkata

For Golden Goenka Fincorp Limited

Date: 11-10-2014

Sd/-

Company Secretary