

COMPLIANCE REPORT ON CORPORATE GOVERNANCE

ANNEXURE- I

| 1 | Name of Listed Entity | | | | | | | | U. Y. Fincorp Limited | | | |
|---|----------------------------|-------------------------------|---|--------------------------------|----------------------------|----------------------|---------|---------------|--|--|--|---|
| 2 | Quarter ending | | | | | | | | 30th June, 2022 | | | |
| I. COMPOSITION OF BOARD OF DIRECTORS:- | | | | | | | | | | | | |
| Title (Mr./ Ms) | Name of the Director | PAN [§] & DIN | Category (Chairperson/ Executive/ Non- Executive/ Independent / Nominee) ^{&} | Initial Date of Appointment | Date of Re- appointment | Date of Cessation | Tenure* | Date of Birth | No. of Directors hip in listed entities including this listed entity [in reference to Regulation 17A(1)] | No. of Independ ent Direct orshi p in listed entitie s includ ing this listed entity [in refere nce to Regulatio n 17A(1)] | No. of membershi ps in Audit/ Stakeholde r Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s) | No. of post of Chairpers on in Audit/ Stakehold er Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulation s) |
| Mr. | Udai Kothari | AQMPK0691F (DIN:-00284256) | Chairman & Managing Director | 02.05.2022 | - | - | 3 Years | 24.09.1987 | 1 | - | 1 | - |
| Mr. | Deepak Kothari | ABBPk9382M (DIN:-00280323) | Non- Executive, Non- Independent | 02.05.2022 | - | - | - | 16.06.1963 | 1 | - | - | - |
| Mr. | Dinesh Burman | ADTPB8735H (DIN:-00612904) | Executive Director | 30.07.2010 | 30.05.2021 | - | 3 Years | 12.03.1957 | 1 | - | 1 | - |
| Mr. | Govind Kumar Goyal | AESPg3693R (DIN: 02466348) | Non- Executive Independent Director | 24.07.2015 | 24.07.2020 | - | 5 Years | 23.09.1979 | 1 | 1 | 1 | - |
| Mr. | NishantSharad rao Nanda | APNPP5183R (DIN:-08196581) | Non- Executive Independent Director | 10.08.2018 | - | - | 5 Years | 18.09.1984 | 1 | 1 | - | - |
| Mr. | JigneshDalal | ADUPD2097H (DIN: 08065507) | Non- Executive Independent Director | 15.02.2018 | - | - | 5 Years | 08.04.1972 | 1 | 1 | 2 | 2 |
| Mrs. | LeenaHineshJ obanputra | AHCPI1237H (DIN:-06975039) | Non- Executive Independent Director | 12.11.2014 | 12.11.2019 | - | 5 Years | 08.12.1973 | 1 | 1 | 2 | - |
| Whether Regular chairperson appointed | | | | | | | | | | Yes | | |
| Whether Chairperson is related to managing director or CEO | | | | | | | | | | Yes | | |
| <p>[§] PAN number of any director would not be displayed on the website of Stock Exchange</p> <p>^{&} Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p> | | | | | | | | | | | | |

II. COMPOSITION OF COMMITTEES:-

| Name of Committee | Whether Regular Chairperson appointed | Name of Committee members | Category (Chairperson / Executive / Non-Executive / Independent / Nominee) ⁵ | Date of Appointment | Date of Cessation |
|---------------------------------------|---------------------------------------|---------------------------|---|---------------------|-------------------|
| Audit Committee | Yes | JigneshDalal | Chairperson-Non Executive-Independent Director | 15-02-2018 | - |
| | | LeenaHineshJobanputra | Member-Non Executive-Independent Director | 12-11-2014 | - |
| | | Govind Kumar Goyal | Member-Non Executive-Independent Director | 11-08-2018 | - |
| Nomination and Remuneration Committee | Yes | JigneshDalal | Chairperson-Non Executive-Independent Director | 15-02-2018 | - |
| | | LeenaHineshJobanputra | Member-Non Executive-Independent Director | 12-11-2014 | - |
| | | Govind Kumar Goyal | Member-Non Executive-Independent Director | 11-08-2018 | - |
| Stakeholders Relationship Committee | Yes | JigneshDalal | Chairperson-Non Executive-Independent Director | 11-08-2018 | - |
| | | LeenaHineshJobanputra | Member-Non Executive-Independent Director | 12-11-2017 | - |
| | | Udai Kothari | Member-Executive-Non Independent Director | 14-11-2017 | - |
| | | Dinesh Burman | Member-Executive-Whole time Director | 23-04-2014 | - |
| Risk Management Committee | N.A. | | | | |

⁵ Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphe n

III. MEETINGS OF BOARD OF DIRECTORS:-

| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met* | Number of Directors present* | Number of Independent Directors present* | Maximum gap between any two consecutive (in number of days) |
|---|---|------------------------------------|------------------------------|--|---|
| 14.02.2022 | - | - | - | - | - |
| 15.03.2022 | - | - | - | - | - |
| - | 30.04.2022 | Yes | 7 | 4 | 45 |
| - | 30.05.2022 | Yes | 7 | 4 | 29 |

*to be filled in only for the current quarter.

IV. MEETINGS OF COMMITTEES:-AUDIT COMMITTEE

| Date(s) of Meeting of the committee) in the relevant quarter | Whether requirement of Quorum met | Number of Directors present* | Number of Independent Directors present* | Date(s) of Meeting of the committee in the previous quarter | Maximum gap between any two consecutive (in number of days) |
|---|-----------------------------------|------------------------------|--|---|---|
| 30.05.2022 | Yes | 3 | 3 | 14.02.2022 | 104 |

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.

**to be filled in only for the current quarter.

| V. RELATED PARTY TRANSACTIONS:- | |
|---|--|
| Subject | Compliance status (Yes/No/NA) ^{refer note below} |
| Whether prior approval of audit committee obtained | N.A. |
| Whether shareholder approval obtained for material RPT | N.A. |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | N.A. |
| <p>Note</p> <p>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2. If status is "No" details of non-compliance may be given here.</p> | |
| VI. AFFIRMATIONS:- | |
| <p>1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015</p> <ol style="list-style-type: none"> Audit Committee Nomination & remuneration committee Stakeholders relationship committee Risk management committee (applicable to the top 100 listed entities) –Not Applicable <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here.- This will be place at the ensuing Board Meeting. The Corporate Governance Report for the quarter ended 31st March, 2022 was placed at the meeting of Board of Directors of the Company held on 30th May, 2022.</p> | |
| <p>For U. Y. Fincorp Limited</p> <p>Sd/- Amrita Mohta Kothari (Company Secretary)</p> | |