

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : U Y FINCORP LIMITED

2 Scrip Code/Name of Scrip/Class of Security : BSE- 530579, CSE- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/06/2022**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter Group	6	129332372	0	0	129332372	67.984	129332372	0	129332372	67.984	0	67.984	0	0.000	0	0.000	129332372
(B)	Public	7865	60905957	0	0	60905957	32.016	60905957	0	60905957	32.016	0	32.016	0	0.000	0	0.000	60770338
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	7871	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	100.000	0	0.000	0	0.000	190102710

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family			4	80180357	0	0	80180357	42.147	80180357	0	80180357	42.147	0	42.147	0	0.000	0	0.000	80180357
	DEEPAK KOTHARI	PROMOTER GROUP	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.015	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	PROMOTER	ABBPK9382M	0	37329656	0	0	37329656	19.623	37329656	0	37329656	19.623	0	19.623	0	0.000	0	0.000	37329656
	DIPTI DEEPAK KOTHARI	PROMOTER GROUP	AETPK9531R	0	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	1.939	0	0.000	0	0.000	3689002
	UDAI KOTHARI	PROMOTER	AQMPK0691F	0	39133699	0	0	39133699	20.571	39133699	0	39133699	20.571	0	20.571	0	0.000	0	0.000	39133699
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate			2	49152015	0	0	49152015	25.837	49152015	0	49152015	25.837	0	25.837	0	0.000	0	0.000	49152015
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	19.474	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	PROMOTER GROUP	AAACU4253G	0	12105807	0	0	12105807	6.363	12105807	0	12105807	6.363	0	6.363	0	0.000	0	0.000	12105807
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			6	129332372	0	0	129332372	67.984	129332372	0	129332372	67.984	0	67.984	0	0.000	0	0.000	129332372
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=[A](1)+[A](2)			6	129332372	0	0	129332372	67.984	129332372	0	129332372	67.984	0	67.984	0	0.000	0	0.000	129332372

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
	Sub-Total (B)(2)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		7610	10603471	0	0	10603471	5.574	10603471	0	10603471	5.574	0	5.574	0	0.000	0	0.000	10484252
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		136	22209902	0	0	22209902	11.675	22209902	0	22209902	11.675	0	11.675	0	0.000	0	0.000	22209902
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		74	27435082	0	0	27435082	14.421	27435082	0	27435082	14.421	0	14.421	0	0.000	0	0.000	27419682
	MAIN LAND FINANCE PVT. LTD.	AACCM3533D	0	4388173	0	0	4388173	2.307	4388173	0	4388173	2.307	0	2.307	0	0.000	0	0.000	4388173
	MOONRISE VINCOM PRIVATE LIMITED	AAHCM6894J	0	4038376	0	0	4038376	2.123	4038376	0	4038376	2.123	0	2.123	0	0.000	0	0.000	4038376
	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	4387156	0	0	4387156	2.306	4387156	0	4387156	2.306	0	2.306	0	0.000	0	0.000	4387156
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		12	223880	0	0	223880	0.118	223880	0	223880	0.118	0	0.118	0	0.000	0	0.000	223880
	NRI - Non-Repatriable		13	216827	0	0	216827	0.114	216827	0	216827	0.114	0	0.114	0	0.000	0	0.000	215827
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		17	65413	0	0	65413	0.034	65413	0	65413	0.034	0	0.034	0	0.000	0	0.000	65413
	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382
	Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		7864	60901957	0	0	60901957	32.014	60901957	0	60901957	32.014	0	32.014	0	0.000	0	0.000	60766338
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		7865	60905957	0	0	60905957	32.016	60905957	0	60905957	32.016	0	32.016	0	0.000	0	0.000	60770338

Table V - Statement showing shareholding details of significant beneficial owner

		<i>Details of the significant beneficial owner (I)</i>			<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)	
1	<i>Deepak Kothari</i>	<i>ABBPk9382M</i>	<i>Indian</i>	<i>Deepak Kothari (HUF)</i>	<i>AABHD7041M</i>	<i>Indian</i>	<i>19.62</i>			No	No	<i>30-01-2017</i>	