

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : U Y FINCORP LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : BSE:- 530579, CSE:- 10017059
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30/09/2021**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



**Table 1 - Summary Statement holding of specified securities**

Category (i)	Category of shareholder (ii)	Nos. of shares (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eqix	Class eqiy	Total				(a)	(b)	(a)	(b)	
(A)	Promoter & Promoter Group	5	116940217	0	0	116940217	61.470	116940217	0	116940217	61.470	0	0	0.000	0	0.000	116940217	
(B)	Public	4053	73298112	0	0	73298112	38.530	73298112	0	73298112	38.530	0	0	0.000	0	0.000	73161693	
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DPs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>4059</b>	<b>190238329</b>	<b>0</b>		<b>190238329</b>	<b>100.000</b>	<b>190238329</b>	<b>0</b>	<b>190238329</b>	<b>100.000</b>	<b>0</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>190101910</b>	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of Shareholders (I)	Entity Type - promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of Depository Shares (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR 1957 (A-4-a-c2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (Warrant) (X)	% Transferrable as a % assuming full conversion of convertible securities (X) x (VII) = (XI) x % of (VII) (XI)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of Equity Shares held in dematerialized form (XIV)	
									Class X	Class Y	Total				(a)	(b)	(a)	(b)		
(1)	Indian Individual/ Hindu undivided Family		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0	0	0	0	0	0
(2)	DEEPAK KOTHARI GROUP	AAHHD7041M	4	68091200	0	0	68091200	35.793	0	0	68091200	35.793	0	0.000	0	0	0	0	0	68091200
(3)	DEEPAK KOTHARI PROMOTER	AAHHD7041M	0	28000	0	0	28000	0.015	0	0	28000	0.015	0	0.000	0	0	0	0	0	28000
(4)	DEEPAK KOTHARI PROMOTER GROUP	ABBN93822M	0	33440499	0	0	33440499	17.578	0	0	33440499	17.578	0	0.000	0	0	0	0	0	33440499
(5)	OPITI DEEPAK KOTHARI PROMOTER GROUP	ATPKS531R	0	1139002	0	0	1139002	0.599	0	0	1139002	0.599	0	0.000	0	0	0	0	0	1139002
(6)	UDAI KOTHARI Central Government/State Government/Local Government	ADMFK0931F	0	33483699	0	0	33483699	17.601	0	0	33483699	17.601	0	0.000	0	0	0	0	0	33483699
(7)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(8)	Any Other Bodies Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(9)	Any Other Bodies Corporate		2	48849017	0	0	48849017	25.678	0	0	48849017	25.678	0	0.000	0	0	0	0	0	48849017
(10)	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	AAACLR420B	0	37046208	0	0	37046208	19.474	0	0	37046208	19.474	0	0.000	0	0	0	0	0	37046208
(11)	U Y INDUSTRIES PRIVATE LTD	AAACLU253G	0	11802809	0	0	11802809	6.204	0	0	11802809	6.204	0	0.000	0	0	0	0	0	11802809
(12)	Trusts Clearing Members Sub-Total (XVI)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(13)	Foreign Resident Individuals/Foreign Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(14)	Government Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(15)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(16)	Any Other OCI's		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(17)	Sub-Total (XVII)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
(18)	Total Shareholding of Promoter and Promoter Group		6	116940217	0	0	116940217	61.470	0	0	116940217	61.470	0	0.000	0	0	0	0	0	116940217



**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 as a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Voting rights	No. of Outstanding Shares convertible (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in (xii)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total				(a)	As a % of total Shares held (b)	(a)	As a % of total Shares held (b)	
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f) Financial Institutions/Banks		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(1)		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
Central Government/State Government(s)/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
Sub-Total (B)(2)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(3) Non-institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		3862	5962772	0	0	5962772	3.134	5962772	0	5962772	3.134	0	3.134	0	0.000	0	0.000	5842530
ii) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs.		78	12795697	0	0	12795697	6.726	12795697	0	12795697	6.726	0	6.726	0	0.000	0	0.000	12795697
(b) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0



	Overseas Depositors (holding DRs/balancing																			
(d)	figure)																			
(e)	Bodies Corporate																			
	ALTITUDE DEALER PRIVATE LIMITED.	69	53986703	0	53986703	28,378	53986703	0	53986703	28,878	0	28,378	0	0,000	0	0,000	0	0,000	0	53971303
	MAINLAND FINANCE PVT. LTD	0	8635246	0	8635246	4,539	8635246	0	8635246	4,539	0	4,539	0	0,000	0	0,000	0	0,000	0	8635246
	MOONRISE VINCOM PRIVATE LIMITED	0	4458173	0	4458173	2,343	4458173	0	4458173	2,343	0	2,343	0	0,000	0	0,000	0	0,000	0	4458173
	ONWARD SUPPLIERS PRIVATE LIMITED.	0	9342032	0	9342032	4,911	9342032	0	9342032	4,911	0	4,911	0	0,000	0	0,000	0	0,000	0	9342032
	PMB HOLDINGS PVT LTD	0	2776610	0	2776610	1,460	2776610	0	2776610	1,460	0	1,460	0	0,000	0	0,000	0	0,000	0	2776610
	SANGAMI MERCHANTS PVT.LTD.	0	5997156	0	5997156	3,152	5997156	0	5997156	3,152	0	3,152	0	0,000	0	0,000	0	0,000	0	5997156
	VISHNUPRIYA V/INTRADE PRIVATE LIMITED	0	2101668	0	2101668	1,105	2101668	0	2101668	1,105	0	1,105	0	0,000	0	0,000	0	0,000	0	2101668
	NRI - Individual	8	93480	0	93480	0,049	93480	0	93480	0,049	0	0,049	0	0,000	0	0,000	0	0,000	0	93480
	NRI - Non-Repatriable	7	152051	0	152051	0,080	152051	0	152051	0,080	0	0,080	0	0,000	0	0,000	0	0,000	0	151051
	OCBS	0	0	0	0	0,000	0	0	0	0,000	0	0,000	0	0,000	0	0,000	0	0,000	0	0
	Trusts	0	0	0	0	0,000	0	0	0	0,000	0	0,000	0	0,000	0	0,000	0	0,000	0	0
	Clearing Members	25	155928	0	155928	0,082	155928	0	155928	0,082	0	0,082	0	0,000	0	0,000	0	0,000	0	155928
	IEPF Authority	2	147382	0	147382	0,077	147382	0	147382	0,077	0	0,077	0	0,000	0	0,000	0	0,000	0	147382
	Unclaimed Shares	0	0	0	0	0,000	0	0	0	0,000	0	0,000	0	0,000	0	0,000	0	0,000	0	0
	A/c	0	0	0	0	0,000	0	0	0	0,000	0	0,000	0	0,000	0	0,000	0	0,000	0	0
	Sub-Total (B)(3)	4053	73294013	0	73294013	38,527	73294013	0	73294013	38,527	0	38,527	0	0,000	0	0,000	0	0,000	0	73157594
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	4053	73298112	0	73298112	38,530	73298112	0	73298112	38,530	0	38,530	0	0,000	0	0,000	0	0,000	0	73161693



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total				(a)	(b)	(a)	(b)	
(1) Custodian DR Holder																		
(2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014																		
Total Non-Promoter - Non-Public Shareholding (C)=(C1)+(C2)																		



**Table V - Statement showing shareholding details of significant beneficial owner**

Details of the significant beneficial owner (I)				Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari	ABBPk9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	17.59			No	No	30-01-2017

