

Format of holding of specified securities

Annexure - I

1 **Name of Listed Entity : U Y FINCORP LIMITED**

2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/09/2022**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eq: x	Class eq: y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	6	129956275	0	0	129956275	68.312	129956275	0	129956275	68.312	0	0.000	0	0.000	0	0.000	129956275
(B)	Public	7299	60282054	0	0	60282054	31.688	60282054	0	60282054	31.688	0	0.000	0	0.000	0	0.000	60147425
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	7305	190238329	0	0	190238329	100.000	190238329	0	190238329	100.000	0	0.000	0	0.000	0	0.000	190103710



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)			(13)	(14)	(15)	(16)	(17)	
											(a)	(b)	(c)						
Category & Name of shareholders	PAN	No. of shares held	No. of fully paid-up equity shares held	No. of partly paid-up equity shares held	No. of Depository Receipts	Total Shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 (VII) = (VI) / (VII) * 100	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (as a % of total shares) (X) = (XI) / (XII) * 100	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)				
								Class X	Class Y	Total		No.	As a % of total shares held (b)			No.	As a % of total shares held (b)		
(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	
(b)		4	30804260	0	0	80804260	42.475	80804260	0	80804260	42.475	0	0	0	0	0	0	0	0
	PROMOTER	AABND7041M	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0	0	0	0	0	0	0
	PROMOTER	ABBPK9382M	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	0	0	0	0	0	0	0
	PROMOTER	AETPK9531R	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	0	0	0	0	0	0	0
	PROMOTER	AQMPK0691F	39656344	0	0	39656344	20.846	39656344	0	39656344	20.846	0	0	0	0	0	0	0	0
(b)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(c)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(d)		2	49152015	0	0	49152015	25.837	49152015	0	49152015	25.837	0	0	0	0	0	0	0	0
	PROMOTER	AAACLC520B	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	0	0	0	0	0	0	0
	PROMOTER	AAACLU253G	12105807	0	0	12105807	6.363	12105807	0	12105807	6.363	0	0	0	0	0	0	0	0
	Clearing Members		0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
	Sub-Total (A)(1)	6	129956275	0	0	129956275	68.312	129956275	0	129956275	68.312	0	0	0	0	0	0	0	0
(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(a)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(b)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(c)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(d)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(e)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	129956275	0	0	129956275	68.312	129956275	0	129956275	68.312	0	0	0	0	0	0	0	0



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholder (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (v) + (vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)				Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (X) = (X1) / [(A)+(X)] as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (a)	As a % of total Shares held (b)	Number of equity shares held in dematerialized form (xiv)	Sub-categorization of shares (xv)		
								No. of Voting Rights		Total % of Total Voting Rights	No. of Shares Underlying convertible securities (including Warrants) (X)		No. (a)	As a % of total Shares held (b)				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
								Class x	Class y											
(1) Institutions (Domestic)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(d) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(e) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(f) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(g) Asset Reconstruction Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(h) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(i) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(j) Other Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(k) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(l) Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(2) Institutions (Foreign)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(a) Foreign Direct Investment		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(b) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(c) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(e) Category I		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(f) Category II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(g) Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(h) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(i) QFI Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(j) FPI - CORPORATE - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(k) Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	No. of Voting Rights			No. of Shares Outstanding convertible securities (including Warrants) (x)	Shareholding as % assuming full conversion of convertible securities as a percentage of diluted share capital (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total			No. (e)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1) Custodian, DR Holder																	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
Total Non-Promoter - Non-Public Shareholding (C)=(1)+(2)																	



Table V - Statement showing shareholding details of significant beneficial owner

Details of the significant beneficial owner (i)			Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii) Whether by virtue of:						
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (iv)
1	Deepak Kothari	ABBPK9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	19.69			No	No	30-01-2017



Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.13
As on the end of previous 1st quarter	100	0.15
As on the end of previous 2nd quarter	100	0.05
As on the end of previous 3rd quarter	100	0.05
As on the end of previous 4th quarter	100	0.12

