

Format of holding of specified securities

Annexure - I

1 **Name of Listed Entity : U Y FINCORP LIMITED**

2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2022**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

5 The tabular format for disclosure of holding of specified securities is as follows:-

	No
	No
	No
	No
	No
	No
	No
	No



Table I - Summary Statement holding of specified securities

Category (I)	Nos. of shares held by shareholder (II)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class eq: X	Class eq: Y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	6	130144486	0	130144486	68.411	130144486	0	130144486	68.411	0	0.000	0	0.000	0	0.000	130144486
(B)	Public	7230	60093843	0	60093843	31.589	60093843	0	60093843	31.589	0	0.000	0	0.000	0	0.000	59959224
(C)	Non Promoter - Non Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	TOTAL	7236	190238329	0	190238329	100.000	190238329	0	190238329	100.000	0	0.000	0	0.000	0	0.000	190103710



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	No. of shares held (ii)	No. of fully paid up equity shares held (iii)	No. of partly paid-up equity shares held (iv)	No. of shares held in Depository Receipt (v)	Shareholding as a % of SCRP, 1957 (vi)	Number of Voting Rights held in each class of (vii)			No. of Underlying convertible securities (as a % of paid up share capital) (viii)	Number of Locked in Shares (ix)		Number of Shares held in dematerialized form (x)		Sub-categorization of shares (xi)	
						Class X	Class Y	Total		No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	Sub-category (i)	Sub-category (ii)
(1) Institutions (Domestic)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(a) Mutual Funds	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(b) Venture Capital Funds	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(c) Alternate Investment Funds	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(d) Institutions/Banks	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(e) Insurance Companies	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(f) Provident Funds/Pension Funds	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(g) Asset Reconstruction Companies	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(h) Sovereign Wealth Funds	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(i) NBFCs registered with RBI	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(j) Other Financial Institutions	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(k) Any Other	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
Sub-Total (1)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(2) Institutions (Foreign)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(a) Foreign Direct Investment	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(b) Foreign Venture Capital Investors	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(c) Sovereign Wealth Funds	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(d) Foreign Portfolio Investors	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(e) Any Other	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
Sub-Total (2)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
Overseas Depositors (including Dr/Holding figure)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(f) Any Other	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
FPI, CORPORATE CAT-III	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
Sub-Total (3)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
Central Government/State Government(s)	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (I)	Nos. of shareholders (II)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C2) (VII)	Number of Voting Rights held in each class of securities (X)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class x	Class y	Total		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1) Custodian, DR Holder															
(2) Employee Benefit Trust (under SEBI share based Employee Benefit) Regulations, 2014															
Total Non-Promoter - Non-Public Shareholding (C)=(1)+(2)															



Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.13
As on the end of previous 1st quarter	100	0.15
As on the end of previous 2nd quarter	100	0.05
As on the end of previous 3rd quarter	100	0.05
As on the end of previous 4th quarter	100	0.12

