

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : U Y FINCORP LIMITED

2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30.06.2023**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter Group	6	134123500	0	0	134123500	70.503	134123500	0	134123500	70.503	0	70.503	0	0.000	0	0.000	134123500
(B)	Public	6510	56114829	0	0	56114829	29.497	56114829	0	56114829	29.497	0	29.497	0	0.000	0	0.000	55981210
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	6516	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	100.000	0	0.000	0	0.000	190104710

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family			4	84971485	0	0	84971485	44.666	84971485	0	84971485	44.666	0	44.666	0	0.000	0	0.000	84971485
	DEEPAK KOTHARI	PROMOTER GROUP	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.015	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	PROMOTER	ABBPK9382M	0	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	19.676	0	0.000	0	0.000	37430914
	DIPTI DEEPAK KOTHARI	PROMOTER GROUP	AETPK9531R	0	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	1.939	0	0.000	0	0.000	3689002
	UDAI KOTHARI	PROMOTER	AQMFK0691F	0	43823569	0	0	43823569	23.036	43823569	0	43823569	23.036	0	23.036	0	0.000	0	0.000	43823569
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate			2	49152015	0	0	49152015	25.837	49152015	0	49152015	25.837	0	25.837	0	0.000	0	0.000	49152015
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	19.474	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	PROMOTER GROUP	AAACU4253G	0	12105807	0	0	12105807	6.363	12105807	0	12105807	6.363	0	6.363	0	0.000	0	0.000	12105807
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			6	134123500	0	0	134123500	70.503	134123500	0	134123500	70.503	0	70.503	0	0.000	0	0.000	134123500
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			6	134123500	0	0	134123500	70.503	134123500	0	134123500	70.503	0	70.503	0	0.000	0	0.000	134123500

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)			
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under		
									Class x	Class y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(1)	Institutions (Domestic)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(a)	Mutual Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(b)	Venture Capital Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(c)	Alternate Investment Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(d)	Financial Institutions/Banks		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Financial Institutions		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Banks		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(e)	Insurance Companies		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(f)	Provident Funds/Pension Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(g)	Asset Reconstruction Companies		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(h)	Sovereign Wealth Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(i)	NBFCs registered with RBI		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(j)	Other Financial Institutions		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(k)	Any Other		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Sub-Total (B)(1)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(2)	Institutions (Foreign)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(a)	Foreign Direct Investment		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(b)	Foreign Venture Capital Investors		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(c)	Sovereign Wealth Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(d)	Foreign Portfolio Investors		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Category I		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Category II		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(e)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(f)	Any Other		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	QFI Corporate		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	FPI - CORPORATE - CAT-III		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Sub-Total (B)(2)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(3)	Central Government/State Government(s)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				

(a)	Central Government/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000			
(b)	State Government/Governor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Sub-Total (B)(3)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000			
(4)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(a)	Associate Companies/Subsidiaries		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(b)	Directors and their relatives		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(c)	Key Managerial Personnel		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(d)	Relatives of Promoters (other than Immediate Relatives of Promoters disclosed under Promoter & Promoter Group)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(e)	Trusts where any person belonging to Promoter & Promoter Group Category is Trustee, beneficiary or author of the trust		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(f)	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382			
(g)	Resident Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		6272	7980447	0	0	7980447	4.195	7980447	0	7980447	4.195	0	4.195	0	0.000	0	0.000	7863228			
(h)	Resident Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		135	24173499	0	0	24173499	12.707	24173499	0	24173499	12.707	0	12.707	0	0.000	0	0.000	24173499			
(i)	NRI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Repatriable		12	179337	0	0	179337	0.094	179337	0	179337	0.094	0	0.094	0	0.000	0	0.000	179337			
	Non-Repatriable		12	178292	0	0	178292	0.094	178292	0	178292	0.094	0	0.094	0	0.000	0	0.000	177292			
(j)	Foreign Nationals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(k)	Foreign Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(l)	Bodies Corporate		71	23431981	0	0	23431981	12.317	23431981	0	23431981	12.317	0	12.317	0	0.000	0	0.000	23416581			
	MAIN LAND FINANCE PVT. LTD.	AACCM3533D	0	4338173	0	0	4338173	2.280	4338173	0	4338173	2.280	0	2.280	0	0.000	0	0.000	4338173			

	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	3987156	0	0	3987156	2.096	3987156	0	3987156	2.096	0	2.096	0	0.000	0	0.000	3987156			
(m)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Clearing Memebers		5	19891	0	0	19891	0.010	19891	0	19891	0.010	0	0.010	0	0.000	0	0.000	19891			
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	FPI - INDIVIDUAL - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Unclaimed Demat Escrow A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Sub-Total (B)(4)		6509	56110829	0	0	56110829	29.495	56110829	0	56110829	29.495	0	29.495	0	0.000	0	0.000	55977210			
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B) (3)+(B)(4)		6510	56114829	0	0	56114829	29.497	56114829	0	56114829	29.497	0	29.497	0	0.000	0	0.000	55981210			

Table V - Statement showing shareholding details of significant beneficial owner

<i>Details of the significant beneficial owner (I)</i>			<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (III) Whether by virtue of:</i>						
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	<i>Deepak Kothari</i>	<i>ABBPK9382M</i>	<i>Indian</i>	<i>Deepak Kothari (HUF)</i>	<i>AABHD7041M</i>	<i>Indian</i>	<i>19.69</i>			No	No	<i>30-01-2017</i>

Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.13
As on the end of previous 1st quarter	100	0.15
As on the end of previous 2nd quarter	100	0.05
As on the end of previous 3rd quarter	100	0.05
As on the end of previous 4th quarter	100	0.12