

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : U Y FINCORP LIMITED

2 Scrip Code/Name of Scrip/Class of Security : BSE:- 530579, CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/03/2022**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter Group	6	124640217	0	0	124640217	65.518	124640217	0	124640217	65.518	0	65.518	0	0.000	0	0.000	124640217
(B)	Public	7165	65598112	0	0	65598112	34.482	65598112	0	65598112	34.482	0	34.482	0	0.000	0	0.000	65462293
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	7171	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	100.000	0	0.000	0	0.000	190102510

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family			4	75791200	0	0	75791200	39.840	75791200	0	75791200	39.840	0	39.840	0	0.000	0	0.000	75791200
	DEEPAK KOTHARI	PROMOTER GROUP	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.015	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	PROMOTER	ABBP9382M	0	36140499	0	0	36140499	18.997	36140499	0	36140499	18.997	0	18.997	0	0.000	0	0.000	36140499
	DIPTI DEEPAK KOTHARI	PROMOTER GROUP	AETPK9531R	0	3639002	0	0	3639002	1.913	3639002	0	3639002	1.913	0	1.913	0	0.000	0	0.000	3639002
	UDAI KOTHARI	PROMOTER	AQMPK0691F	0	35983699	0	0	35983699	18.915	35983699	0	35983699	18.915	0	18.915	0	0.000	0	0.000	35983699
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate			2	48849017	0	0	48849017	25.678	48849017	0	48849017	25.678	0	25.678	0	0.000	0	0.000	48849017
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	19.474	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	PROMOTER GROUP	AAACU4253G	0	11802809	0	0	11802809	6.204	11802809	0	11802809	6.204	0	6.204	0	0.000	0	0.000	11802809
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			6	124640217	0	0	124640217	65.518	124640217	0	124640217	65.518	0	65.518	0	0.000	0	0.000	124640217
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			6	124640217	0	0	124640217	65.518	124640217	0	124640217	65.518	0	65.518	0	0.000	0	0.000	124640217

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
	Sub-Total (B)(2)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		6865	10057974	0	0	10057974	5.287	10057974	0	10057974	5.287	0	5.287	0	0.000	0	0.000	9938555
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		149	21367594	0	0	21367594	11.232	21367594	0	21367594	11.232	0	11.232	0	0.000	0	0.000	21367594
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

(d)	Overseas Depositors (holding DRs)(balancing figure)																		
		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(e)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Bodies Corporate	70	32880247	0	0	32880247	17.284	32880247	0	32880247	17.284	0	17.284	0	0.000	0	0.000	32864847	
	MAINLAND FINANCE PVT. LTD	AACCM3533D	0	4388173	0	0	4388173	2.307	4388173	0	4388173	2.307	0	2.307	0	0.000	0	0.000	4388173
	MOONRISE VINCOM PRIVATE LIMITED	AAHCM6894J	0	4038376	0	0	4038376	2.123	4038376	0	4038376	2.123	0	2.123	0	0.000	0	0.000	4038376
	PMB HOLDINGS PVT LTD	AABCP5003G	0	2366610	0	0	2366610	1.244	2366610	0	2366610	1.244	0	1.244	0	0.000	0	0.000	2366610
	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	5187156	0	0	5187156	2.727	5187156	0	5187156	2.727	0	2.727	0	0.000	0	0.000	5187156
	QFI - Individual		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	NRI - Repatriable		11	223679	0	0	223679	0.118	223679	0	223679	0.118	0	0.118	0	0.000	0	0.000	223679
	NRI - Non-Repatriable		13	216827	0	0	216827	0.114	216827	0	216827	0.114	0	0.114	0	0.000	0	0.000	215827
	OCBs		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Trusts		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Clearing Memebers		54	700409	0	0	700409	0.368	700409	0	700409	0.368	0	0.368	0	0.000	0	0.000	700409
	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382
	Unclaimed Shares A/c		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Sub-Total (B)(3)		7164	65594112	0	0	65594112	34.480	65594112	0	65594112	34.480	0	34.480	0	0.000	0	0.000	65458293
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		7165	65598112	0	0	65598112	34.482	65598112	0	65598112	34.482	0	34.482	0	0.000	0	0.000	65462293

Table V - Statement showing shareholding details of significant beneficial owner

<i>Details of the significant beneficial owner (I)</i>				<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	<i>Deepak Kothari</i>	<i>ABBPk9382M</i>	<i>Indian</i>	<i>Deepak Kothari (HUF)</i>	<i>AABHD7041M</i>	<i>Indian</i>	<i>19.01</i>			No	No	<i>30-01-2017</i>